

\* Final HW assignment: Will make it up soon  
\* Will be revising syllabus for final week

Review: Klein-Gordon Equation

$$[\partial_\mu \partial^\mu + m^2] \psi(x^\mu) = \left[ \frac{\partial^2}{\partial t^2} - \vec{\nabla}^2 + m^2 \right] \psi(\vec{x}, t) = 0$$

Free particle with mass m Covariant!

and  $\psi(x^\mu) = N e^{-i p_\mu x^\mu} \Rightarrow p_\mu p^\mu + m^2 = -E^2 + \vec{p}^2 + m^2 = 0$   
i.e.  $E^2 = \vec{p}^2 + m^2$

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- Correct energy eigenvalues!
  - But must admit negative energies  $E = -(\vec{p}^2 + m^2)^{1/2}$
  - And can't define positive-definite  $\rho$  !!  
(However, hints of "antiparticles" ...)
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Today: Dirac Equation

Don't like second order in time  $\Rightarrow$  First order of  $(\partial^\mu)$  order!  
But it needs to be covariant  $\Rightarrow$  First order in space, too!

$$\hat{\Sigma} (i \gamma^\mu \partial_\mu - m) \psi(x^\mu) = 0$$

$\hat{\Sigma}$  could be any constant but it  
"Just some values" will turn out to be mass!

Must give correct energy eigenvalues!

$\hat{\Sigma} \Rightarrow$  Force it to be consistent with K.G. Equation!!

"Easy" to do:  $-(+i\gamma^{\nu}\partial_{\nu} + m)(i\gamma^{\mu}\partial_{\mu} - m)\psi = 0$   
 $-(i\gamma^{\nu}\gamma^{\mu}\partial_{\nu}\partial_{\mu} - m^2)\psi = 0$   
 i.e.  $(\gamma^{\nu}\gamma^{\mu}\partial_{\nu}\partial_{\mu} + m^2)\psi = 0$

switch dummies  $\swarrow$  this must equal  $\partial_{\mu}\partial^{\mu} = \eta^{\mu\nu}\partial_{\mu}\partial_{\nu}$

write  $\gamma^{\mu}\gamma^{\nu}\partial_{\mu}\partial_{\nu} = \eta^{\mu\nu}\partial_{\mu}\partial_{\nu}$  (\*)  
 $= \gamma^{\mu}\gamma^{\nu}\partial_{\nu}\partial_{\mu} = \gamma^{\nu}\gamma^{\mu}\partial_{\mu}\partial_{\nu}$  (\*)

switch order  $\swarrow$  switch dummies

now add:  $[\gamma^{\mu}\gamma^{\nu} + \gamma^{\nu}\gamma^{\mu}]\partial_{\mu}\partial_{\nu} = 2\eta^{\mu\nu}\partial_{\mu}\partial_{\nu}$

i.e. Require that  $\frac{1}{2}[\gamma^{\mu}\gamma^{\nu} + \gamma^{\nu}\gamma^{\mu}] \equiv \frac{1}{2}\{\gamma^{\mu}, \gamma^{\nu}\} = \eta^{\mu\nu}$

where  $\{A, B\} \equiv AB + BA$  "anti-commutator"

Recall  $\eta^{\mu\nu} = \begin{bmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & -1 \end{bmatrix} \Rightarrow (\gamma^0)^2 = 1 \quad (\gamma^i)^2 = -1 \quad i=1,2,3$   
 and  $\gamma^{\mu}\gamma^{\nu} = -\gamma^{\nu}\gamma^{\mu}$  (\*\*\*)  $\mu \neq \nu$

"Clifford Algebra" (1873) aka "Dirac Quaternions"

- obviously the  $\gamma^{\mu}$  are not just complex numbers!
- Pauli matrices? Maybe  $\gamma^0 = 1$  and  $\gamma^i = i\sigma_j$ ? Complete!!  
 no!  $1(i\sigma_j) \neq -(i\sigma_j)1$  "Not big enough"
- 3x3 matrices? Apparently not (but I don't know why)
- You can make it work with 4x4! (well see soon.)

But these are desires! (Not unique!) (however (\*\*\*)  $\Rightarrow \text{Tr}\gamma^{\mu} = 0$ )

Before finding an explicit form of  $\gamma^\mu$ , find constraints.

$$(i\gamma^\mu \partial_\mu - m) e^{-ix^\mu p_\mu} = 0 \Rightarrow i\gamma^\mu (-ip_\mu) - m = 0$$

$$\text{i.e. } \gamma^\mu p_\mu - m = \gamma^0 E - \vec{\gamma} \cdot \vec{p} - m = 0$$

$$\times \gamma^0 \Rightarrow E = \gamma^0 \vec{\gamma} \cdot \vec{p} + \gamma^0 m \quad (\vec{p} \text{ is just a vector})$$

$$\text{E.D. } H = \vec{\alpha} \cdot \vec{p} + \beta m$$

$$\vec{\alpha} \equiv \gamma^0 \vec{\gamma} \quad \beta \equiv \gamma^0 \quad (\text{Now } \vec{p} \text{ is an operator})$$

(Dirac's starting point.)

Free Particle! (will add electromagnetism later via  $A^\mu$ )

One (non-obvious) correction: Make  $\vec{\alpha}$  and  $\beta$  Hermitian.

$$\text{E.D. } \gamma^0 \dagger = \gamma^0 \quad \text{But } \vec{\alpha} \dagger = (\gamma^0 \vec{\gamma}) \dagger = \vec{\gamma} \dagger \gamma^0$$

$$= \vec{\alpha} = \gamma^0 \vec{\gamma} = -\vec{\gamma} \gamma^0 \quad \text{i.e. } \vec{\gamma} \dagger = -\vec{\gamma}$$

" $\gamma^0$  is Hermitian but  $\vec{\gamma}$  is anti-Hermitian"

there are still choices for the  $\gamma^\mu$  but we'll use these:

$$\vec{\alpha} = \begin{bmatrix} 0 & \vec{\sigma} \\ \vec{\sigma} & 0 \end{bmatrix} \quad \beta = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \text{"2x2's of 2x2's"}$$

$$\Rightarrow \vec{\gamma} \dagger = \gamma^0 \vec{\alpha} = \gamma^0 \vec{\gamma} = -\vec{\gamma}$$

Example:

$$\gamma_x = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} 0 & \sigma_x \\ \sigma_x & 0 \end{bmatrix} = \begin{bmatrix} 0 & \sigma_x \\ -\sigma_x & 0 \end{bmatrix} \quad \gamma_y = \begin{bmatrix} 0 & \sigma_y \\ -\sigma_y & 0 \end{bmatrix}$$

$$\text{so } \gamma_x \gamma_y = \begin{bmatrix} -\sigma_x \sigma_y & 0 \\ 0 & -\sigma_x \sigma_y \end{bmatrix} \quad \gamma_y \gamma_x = \begin{bmatrix} -\sigma_y \sigma_x & 0 \\ 0 & -\sigma_y \sigma_x \end{bmatrix} = -\gamma_x \gamma_y$$

since  $\sigma_x \sigma_y = -\sigma_y \sigma_x$

## The Conserved Current

First consider the Schrödinger Equation:

$$\cancel{i\partial_t \psi} \quad H = \vec{\alpha} \cdot \vec{p} + \beta m$$

$$\Leftrightarrow i\partial_t \psi = -i\vec{\alpha} \cdot \vec{\nabla} \psi + \beta m \psi \quad (*)$$

But  $\vec{\alpha}$  and  $\beta$  are  $4 \times 4$  matrices

$\Leftrightarrow \psi$  is a four-component column vector! (more later)

We need to write  $\rho = \psi^\dagger \psi$  for the probability density.

$\Leftrightarrow$  Does it make sense to now write  $\rho = \psi^\dagger \psi$  ??

Try it!  $\frac{d\rho}{dt} = (\partial_t \psi^\dagger) \psi + \psi^\dagger (\partial_t \psi)$  From (\*)

$$\partial_t \psi = -\vec{\alpha} \cdot \vec{\nabla} \psi - i\beta m \psi$$

also  $\partial_t \psi^\dagger = -\vec{\nabla} \psi^\dagger \cdot \vec{\alpha} + i\beta m \psi^\dagger$

Recall:  $\vec{\alpha}, \beta$   
are Hermitian

$$\Leftrightarrow \frac{d\rho}{dt} = -\vec{\nabla} \psi^\dagger \cdot \vec{\alpha} \psi - \psi^\dagger \vec{\alpha} \cdot \vec{\nabla} \psi = -\vec{\nabla} \cdot (\psi^\dagger \vec{\alpha} \psi)$$

$$= -\vec{\nabla} \cdot \vec{j} \quad \text{where} \quad \vec{j} \equiv \psi^\dagger \vec{\alpha} \psi$$

we have a conserved current!

Note: Any Hermitian "potential" would also cancel.

i.e.  $\frac{d\rho}{dt} + \vec{\nabla} \cdot \vec{j} = 0$  But can we make this covariant?

We can make it look covariant with  $\bar{\psi} \equiv \psi^\dagger \beta = \psi^\dagger \gamma^0$

$$\Leftrightarrow \rho = \psi^\dagger \psi = \bar{\psi} \cancel{\beta} \psi = \psi^\dagger \gamma^0 \gamma^0 \psi = \bar{\psi} \gamma^0 \psi$$

$$\text{and } \vec{j} = \psi^\dagger \vec{\alpha} \psi = \psi^\dagger \gamma^0 \gamma^0 \vec{\alpha} \psi = \bar{\psi} \gamma^0 \vec{\alpha} \psi = \bar{\psi} \underline{\underline{\vec{\gamma}}} \psi$$

[It's subtle to prove covariance.] (Recall:  $\vec{\gamma}^i = \gamma^0 \gamma^i$ )  $\Rightarrow j^\mu = \bar{\psi} \gamma^\mu \psi$

# Free Particle Solutions

Recall energy eigenvalues  $E = \gamma^0 \vec{\gamma} \cdot \vec{p} + \gamma^0 m$

Particle at rest ( $\vec{p} = 0$ )

$$\Leftrightarrow i \partial_t \psi = E \psi \Rightarrow i \frac{\partial}{\partial t} \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{bmatrix} = \begin{bmatrix} m & & & \\ & m & & \\ & & -m & \\ & & & -m \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{bmatrix}$$

Four independent equations  $\Rightarrow$  Four independent solutions!

$$\text{i.e. } \psi = e^{-imt} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = e^{-imt} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} = e^{+imt} \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} = e^{+imt} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

Positive Energy

Negative Energy

What do you think the two components turn out to be?

Particle with constant momentum ( $\vec{p} = p \hat{z}$ )

i.e. want to solve  $H\psi = E\psi$  for  $H = \alpha_z p + \beta m$

$$\Leftrightarrow \text{Find eigenvalues for } \begin{bmatrix} 0 & \sigma_z \\ \sigma_z & 0 \end{bmatrix} p + \begin{bmatrix} m & 0 \\ 0 & -m \end{bmatrix}$$

coupled

$$= \begin{bmatrix} m & 0 & p & 0 \\ 0 & m & 0 & -p \\ p & 0 & -m & 0 \\ 0 & -p & 0 & -m \end{bmatrix}$$

For "1" and "3" find  $E = \pm E_p$   
"2" and "4" find same

i.e. upper two are positive energy  
(lower) (negative)



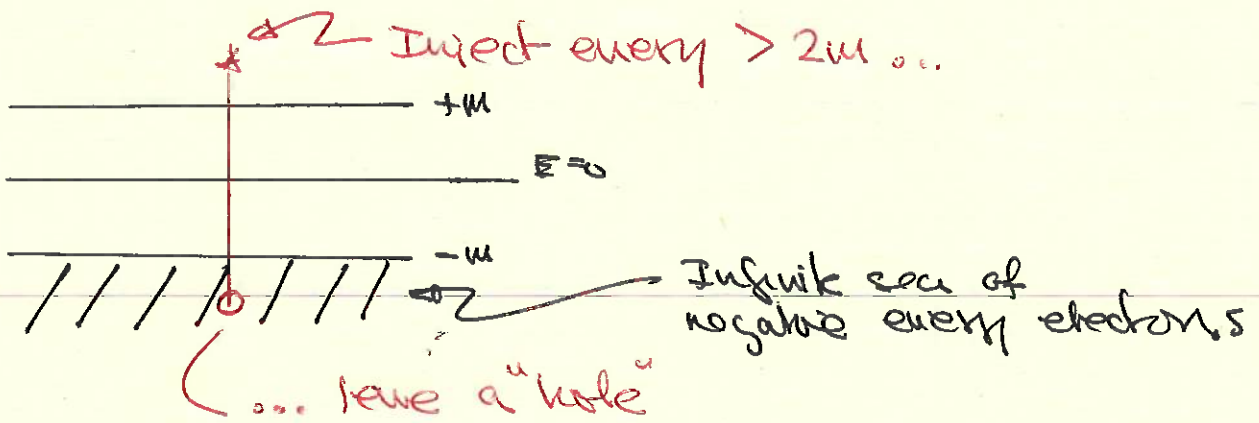
Also for  $\vec{\Sigma} = \begin{bmatrix} \vec{\sigma} & 0 \\ 0 & +\vec{\sigma} \end{bmatrix}$  Maybe I should write  $\underline{\Sigma}$

where  $\vec{\Sigma} \cdot \vec{p} = \begin{bmatrix} 1 & -1 & 0 \\ 0 & 1 & -1 \end{bmatrix} \Rightarrow$  "1" and "3" are positive helicity  
 "2" and "4" are negative helicity

This smells a lot like spin-1/2 !!

[Thursday: "Fungus on the cake"]

Negative Energies (Dirac's Interpretation)



The "hole" should look like a positively charged electron.  
 Anderson PR 43 (1933) 491: Discovery of the positron

Cosmic Rays / cloud chamber

